

1. 解: $\vec{v}_1 = \begin{pmatrix} 1 \\ 2 \\ 0 \end{pmatrix}, \vec{v}_2 = \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix}, \vec{v}_3 = \begin{pmatrix} 0 \\ 2 \\ -1 \end{pmatrix}$

$A = \begin{pmatrix} 1 & 1 & 0 \\ 2 & 0 & 2 \\ 0 & 1 & -1 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 1 & 0 \\ 0 & -2 & 2 \\ 0 & 1 & -1 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & -1 \\ 0 & 0 & 0 \end{pmatrix}$ (此处均做初等行变换)

从而 $\text{rank}(A) = 2$, 故 $\dim(\langle \vec{v}_1, \vec{v}_2, \vec{v}_3 \rangle) = 2$, 容易看到 A 的前两列线性无关, 故 V 的一组基为 \vec{v}_1, \vec{v}_2 .

$\text{rank}\left(\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}\right) = 3$, 故 $\vec{v}_1, \vec{v}_2, \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$ 构成 \mathbb{Q}^3 的一组基, 由引理 4.13 及其证明过程 (第一章第二次讲义) 可知, \mathbb{Q}^3/V 的一组基为 $\begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} + V$.

2. 解: $(\vec{v}_1, \vec{v}_2, \vec{v}_3) = (\vec{u}_1, \vec{u}_2, \vec{u}_3) \begin{pmatrix} 1 & 1 & 0 \\ 2 & 1 & 1 \\ 0 & 1 & 2 \end{pmatrix}$, 则由基 $\vec{u}_1, \vec{u}_2, \vec{u}_3$ 到基 $\vec{v}_1, \vec{v}_2, \vec{v}_3$ 的转换矩阵为 $\begin{pmatrix} 1 & 1 & 0 \\ 2 & 1 & 1 \\ 0 & 1 & 2 \end{pmatrix}$, 记为 A .

$\vec{w} = \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix} = (\vec{u}_1, \vec{u}_2, \vec{u}_3) \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix} = (\vec{v}_1, \vec{v}_2, \vec{v}_3) A^{-1} \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix}$, $A^{-1} \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix} = \begin{pmatrix} -1 & 2 & -1 \\ 2 & -2 & 1 \\ 0 & -1 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}$

故 \vec{w} 在 $\vec{v}_1, \vec{v}_2, \vec{v}_3$ 下的坐标为 $\begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}$

3. (i) 一般结论: 设 $S \subset F[x]$ 有限, 如果 S 中的元素两两次数不同, 则 S 是 F 上的线性无关.

证: 设 $f_1, \dots, f_k \in S$, 不妨设 $\deg(f_1) < \deg(f_2) < \dots < \deg(f_k)$. 设 $\alpha_1, \dots, \alpha_k \in F$, 且

$$\alpha_1 f_1 + \dots + \alpha_{k-1} f_{k-1} + \alpha_k f_k = 0$$

若 $\alpha_k \neq 0$, 则等式左例是次数为 $\deg(f_k)$ 的多项式, 于是 $\alpha_k = 0$

同理可得 $\alpha_{k-1} = \dots = \alpha_2 = 0$.

$\Rightarrow \alpha_1 f_1 = 0$

$\Rightarrow \alpha_1 = 0$ ($f_1 \neq 0$)

$\Rightarrow f_1, \dots, f_k$ 线性无关.

由上述结论可知 $\{1, x, x(x-1), \dots, x(x-1)\dots(x-d+1)\}$ 是 V 中的线性无关集.

又 $\dim(F[x]^{(d+1)}) = d+1$, 上述无关集正好是含有 $d+1$ 个元素, 故 $\{1, x, x(x-1), \dots, x(x-1)\dots(x-d+1)\}$

是 V 的一组基.

(ii) 设 $\sum_{n,i} \alpha_i$ 为 n 元对称多项式中的第 i 个基本对称多项式.

$$(x-\alpha_1)(x-\alpha_2)\dots(x-\alpha_n) = x^n - \sum_{n,1}(\alpha_1, \dots, \alpha_n)x^{n-1} + \dots + (-1)^n \sum_{n,n}(\alpha_1, \dots, \alpha_n)$$

对于矩阵 P 而言, P 的第 i 列所有的元素对应着 $x(x-1)\dots(x-i+2)$ 的所有系数,

$$x(x-1)\dots(x-i+2) = x^{i-1} - \sum_{i,2,1}(0, 1, \dots, i-1)x^{i-2} + \dots + (-1)^{i-1} \sum_{i,1,i-1}(0, 1, \dots, i-1)x^{i-1}$$

即第 i 列为
$$\begin{pmatrix} i - \dots + 0 \\ 0 \\ \vdots \\ (-1)^{i-j} \sum_{i,1,i-j}(0, 1, \dots, i-1) \\ \vdots \\ 0 \\ \vdots \end{pmatrix}$$

①



$$(1, x, x(x-1), \dots, x(x-1)\dots(x-d+1)) = (1, \dots, x^d) \begin{pmatrix} 1 & 0 & 0 & \dots & 0 \\ & 1 & -1 & & \\ & & 1 & -2 & \\ & & & \ddots & \sum_{d+1}^0 (a) x^{d+1} \\ & & & & 1 \\ & & & & & \frac{d(d-1)}{2} \\ & & & & & & 1 \end{pmatrix}$$

$$\Rightarrow P = \begin{pmatrix} 1 & 0 & 0 & \dots & 0 \\ & 1 & -1 & & \\ & & 1 & -2 & \\ & & & \ddots & \\ & & & & 1 \\ & & & & & \frac{d(d-1)}{2} \\ & & & & & & 1 \end{pmatrix}$$

$$(iii) \Delta(1) = 1 - 1 = 0$$

$$\Delta(x) = x + 1 - x = 1$$

$$\Delta(x(x-1)) = x(x+1) - x(x-1) = 2x$$

$$\Delta(x(x-1)\dots(x-i)) = (x+1)x\dots(x-i+1) - x(x-1)\dots(x-i) = x(x-1)\dots(x-i+1)(x+1-x+i) \\ = (i+1)x(x-1)\dots(x-i+1), \quad i=1, 2, \dots, d-1.$$

$$\Rightarrow \Delta(1, x, x(x-1), \dots, x(x-1)\dots(x-d+1)) = (1, x, \dots, x(x-1)\dots(x-d+1)) P.$$

其中,

$$P = \begin{pmatrix} 0 & 1 & & & \\ & 2 & & & \\ & & \ddots & & \\ & & & d & \\ & & & & 0 \end{pmatrix}_{(d+1) \times (d+1)}$$

$$(iv) \dim(\text{im } \Delta) = \text{rank}(P) = d$$

$$\dim(\ker \Delta) = d+1 - \dim(\text{im } \Delta) = d+1 - d = 1.$$

$$4. \text{ pf: (i) } \forall \vec{x} \in V, \vec{x} = \sum \vec{x}_j = (\pi_1 + \dots + \pi_k) \vec{x} = \pi_1 \vec{x} + \dots + \pi_k \vec{x} \\ \in \text{im}(\pi_1) + \text{im}(\pi_2) + \dots + \text{im}(\pi_k).$$

$$\Rightarrow V \subseteq \text{im}(\pi_1) + \text{im}(\pi_2) + \dots + \text{im}(\pi_k).$$

$$x \because \text{im}(\pi_1), \dots, \text{im}(\pi_k) \subseteq V.$$

$$\therefore \text{im}(\pi_1) + \dots + \text{im}(\pi_k) \subseteq V.$$

$$\Rightarrow V = \text{im}(\pi_1) + \text{im}(\pi_2) + \dots + \text{im}(\pi_k).$$

$$\forall \vec{x} \in \text{im}(\pi_i) \cap \sum_{j \neq i} \text{im}(\pi_j).$$

$$\exists \vec{x}_0 \in V, \text{ s.t. } \vec{x} = \pi_i(\vec{x}_0) = \sum_{j \neq i} \pi_j(\vec{x}_j)$$

$$\vec{x} = \pi_i(\vec{x}_0) = \pi_i(\pi_i(\vec{x}_0)) = \sum_{j \neq i} \pi_i \pi_j(\vec{x}_j) = \vec{0}$$

$$\Rightarrow \text{im}(\pi_i) \cap \sum_{j \neq i} \text{im}(\pi_j) = \{0\}. \quad \Rightarrow V = \text{im}(\pi_1) \oplus \text{im}(\pi_2) \oplus \dots \oplus \text{im}(\pi_k)$$

②



$$(ii) \quad \forall \vec{x} \in V, \vec{x} = \{\vec{x} = \pi_1(\vec{x}) + \dots + \pi_k(\vec{x})\} \quad (1)$$

$$\text{由 (i) 知, } V = \text{im}(\pi_1) \oplus \text{im}(\pi_2) \oplus \dots \oplus \text{im}(\pi_k)$$

故对于 \vec{x} 按 (1) 分解为唯一的一组, 从而 $\beta_i(\vec{x}) = \pi_i(\vec{x})$.

由 \vec{x} 的任意性可知, $\beta_i = \pi_i$.

$$5. \text{ pf: } k=1, \dim(V_1) > 0, \Rightarrow V_1 \neq \{0\}$$

$$\text{法-: } k=2, \dim(V_1) + \dim(V_2) > n, \quad \dim(V_1) + \dim(V_2) = \dim(V_1 + V_2) + \dim(V_1 \cap V_2) > n$$

$$\Rightarrow \dim(V_1 \cap V_2) > n - \dim(V_1 + V_2) \geq n - n = 0$$

$$\Rightarrow V_1 \cap V_2 \neq \{0\}$$

一般情况, 证明存在 $(k-1)$ 个子空间 W_1, \dots, W_{k-1} , 使得

$$\dim(V_1) + \dots + \dim(V_k) = \dim(W_1) + \dots + \dim(W_{k-1}) + \dim(V_1 \cap \dots \cap V_k) \quad (1)$$

对 k 归纳. 当 $k=1$ 时, \checkmark .

设 $k > 1$ 且 (1) 对 $(k-1)$ 个子空间成立, 则存在子空间 W_1, \dots, W_{k-2} 使得

$$\dim(V_1) + \dots + \dim(V_{k-2}) + \dim(V_{k-1}) = \dim(W_1) + \dots + \dim(W_{k-2}) + \dim(V_1 \cap \dots \cap V_{k-1})$$

于是

$$\dim(V_1) + \dots + \dim(V_{k-2}) + \dim(V_{k-1}) + \dim(V_k) \dots$$

$$= \dim(W_1) + \dots + \dim(W_{k-2}) + \dim(V_k) + \dim(V_1 \cap \dots \cap V_{k-1})$$

$$= \dim(W_1) + \dots + \dim(W_{k-2}) + \underbrace{\dim(V_k + (V_1 \cap \dots \cap V_{k-1}))}_{\substack{= \\ W_{k-1}}} + \dim(V_1 \cap \dots \cap V_{k-1} \cap V_k)$$

从而 (1) 对 k 个子空间成立.

故 (1) 对任意的 k 均成立.

因为 $\dim(W_i) \leq n$, 故 $\dim(V_1) + \dots + \dim(V_k) \leq n(k-1) + \dim(V_1 \cap \dots \cap V_k)$

又由题设知 $\dim(V_1) + \dots + \dim(V_k) > n(k-1)$.

$$\Rightarrow n(k-1) < n(k-1) + \dim(V_1 \cap \dots \cap V_k)$$

$$\Rightarrow \dim(V_1 \cap \dots \cap V_k) > 0$$

$$\Rightarrow V_1 \cap \dots \cap V_k \neq \{0\}$$

(3)



法二: 任何一个 n 维线性空间线性同构子 F^n , 从而 V_1, V_2, \dots, V_k 可看做 F^n 中的子空间.

进一步, $V_i, i=1, 2, \dots, k$, 线性同构子齐次方程组的解空间

$$\text{即: } V_i \cong \{ \vec{x}_{n \times 1} \mid A_i \vec{x}_{n \times 1} = \vec{0}_n \}$$

$$\dim(V_i) = n - \text{rank}(A_i)$$

$$\dim(V_1) + \dots + \dim(V_k) = nk - (\text{rank}(A_1) + \text{rank}(A_2) + \dots + \text{rank}(A_k)) > n(k-1)$$

$$\Rightarrow \text{rank}(A_1) + \dots + \text{rank}(A_k) < n$$

$$V_1 \cap \dots \cap V_k \cong \{ \vec{x}_{n \times 1} \mid \begin{pmatrix} A_1 \\ A_2 \\ \vdots \\ A_k \end{pmatrix} \vec{x}_{n \times 1} = \vec{0}_n \}$$

$$\text{记 } A_i = \begin{pmatrix} A_i \\ A_i \\ \vdots \\ A_i \end{pmatrix}, \text{rank}(A) \leq \text{rank}(A_1) + \dots + \text{rank}(A_k) < n.$$

$$\Rightarrow A \vec{x}_{n \times 1} = \vec{0}_n \text{ 有非零解}$$

$$\Rightarrow V_1 \cap \dots \cap V_k \neq \{ \vec{0} \}$$

比如 $\{ \vec{u}_1, \dots, \vec{u}_s \}$ 为 F^n 中子空间的一组基底,

构造方程组 $\begin{pmatrix} \vec{u}_1^t \\ \vec{u}_2^t \\ \vdots \\ \vec{u}_s^t \end{pmatrix} \vec{x}_{n \times 1} = \vec{0}_{s \times 1}$, 上述方程组总有解.

令 $\{ \vec{v}_1, \dots, \vec{v}_k \}$ 为其解空间的基底.

$$\text{从而 } \begin{pmatrix} \vec{u}_1^t \\ \vec{u}_2^t \\ \vdots \\ \vec{u}_s^t \end{pmatrix} (\vec{v}_1, \dots, \vec{v}_k) = \vec{0}_{s \times k}$$

$$\Rightarrow \left(\begin{pmatrix} \vec{u}_1^t \\ \vec{u}_2^t \\ \vdots \\ \vec{u}_s^t \end{pmatrix} (\vec{v}_1, \dots, \vec{v}_k) \right)^t = \vec{0}_{k \times s}$$

$$\begin{pmatrix} \vec{v}_1^t \\ \vdots \\ \vec{v}_k^t \end{pmatrix} (\vec{u}_1, \vec{u}_2, \dots, \vec{u}_s) = \vec{0}_{k \times s}$$

$$\text{故 } \{ \vec{u}_1, \vec{u}_2, \dots, \vec{u}_s \} \text{ 为 } \begin{pmatrix} \vec{v}_1^t \\ \vdots \\ \vec{v}_k^t \end{pmatrix} \vec{x} = \vec{0}_{k \times s} \text{ 解空间的基底}$$



线性空间的笛卡尔积

子
设

设 V 和 W 是 F 上的线性空间. 在 $V \times W$ 上定义

$$\forall \vec{u}_1, \vec{u}_2 \in V, \vec{w}_1, \vec{w}_2 \in W, (\vec{u}_1, \vec{w}_1) + (\vec{u}_2, \vec{w}_2) = (\vec{u}_1 + \vec{u}_2, \vec{w}_1 + \vec{w}_2)$$

和

$$\forall \alpha \in F, \alpha(\vec{u}, \vec{w}) = (\alpha\vec{u}, \alpha\vec{w})$$

引 验证 $V \times W$ 是 F 上的线性空间, 其零向量是 $(\vec{0}_V, \vec{0}_W)$. 由此我们可以诱导出两个自然的线性映射, 两个自然嵌入是

$$\begin{aligned} \phi_V: V &\rightarrow V \times W & \text{和} & & \phi_W: W &\rightarrow V \times W \\ \vec{v} &\mapsto (\vec{v}, \vec{0}_W) & & & \vec{w} &\mapsto (\vec{0}_V, \vec{w}) \end{aligned}$$

两个自然映射是

$$\begin{aligned} \psi_V: V \times W &\rightarrow V & \text{和} & & \psi_W: V \times W &\rightarrow W \\ (\vec{v}, \vec{w}) &\mapsto \vec{v} & & & (\vec{v}, \vec{w}) &\mapsto \vec{w} \end{aligned}$$

定理 设 V 和 W 都是有限维线性空间, 证明: $\dim(V \times W) = \dim(V) + \dim(W)$.

证: 设 $\dim V = n, \dim W = m$. $\{\vec{v}_1, \dots, \vec{v}_n\}$ 为 V 的一组基, $\{\vec{w}_1, \dots, \vec{w}_m\}$ 为 W 的一组基.

下证 $(\vec{v}_1, \vec{0}_W), \dots, (\vec{v}_n, \vec{0}_W), (\vec{0}_V, \vec{w}_1), \dots, (\vec{0}_V, \vec{w}_m)$ 为 $V \times W$ 的基.

$$\text{设 } \exists \alpha_1, \dots, \alpha_{n+m} \in F, \text{ s.t. } \sum_{i=1}^n \alpha_i (\vec{v}_i, \vec{0}_W) + \sum_{j=1}^m \alpha_{n+j} (\vec{0}_V, \vec{w}_j) = \vec{0}$$

$$\Rightarrow \sum_{i=1}^n \alpha_i \vec{v}_i = \vec{0}, \sum_{j=1}^m \alpha_{n+j} \vec{w}_j = \vec{0}$$

$$\Rightarrow \alpha_i = 0, i=1, 2, \dots, n+m$$

故 $(\vec{v}_1, \vec{0}_W), \dots, (\vec{v}_n, \vec{0}_W), (\vec{0}_V, \vec{w}_1), \dots, (\vec{0}_V, \vec{w}_m)$ 线性无关.

$\forall (\vec{v}, \vec{w}) \in V \times W$, 有 $\vec{v} \in V, \exists \alpha_1, \dots, \alpha_n \in F$, s.t. $\vec{v} = \sum_{i=1}^n \alpha_i \vec{v}_i$,
有 $\vec{w} \in W, \exists \beta_1, \dots, \beta_m \in F$, s.t. $\vec{w} = \sum_{j=1}^m \beta_j \vec{w}_j$.

$$\Rightarrow (\vec{v}, \vec{w}) = (\vec{v}, \vec{0}_W) + (\vec{0}_V, \vec{w})$$

$$= \sum_{i=1}^n \alpha_i (\vec{v}_i, \vec{0}_W) + \sum_{j=1}^m \beta_j (\vec{0}_V, \vec{w}_j)$$

故 $V \times W$ 中任何一个向量都可以通过这组向量线性表出.

$$\text{从而 } \dim(V \times W) = n+m = \dim(V) + \dim(W)$$

证二: 考虑自然映射 $\psi_V, \ker(\psi_V) = \{0\} \times W, \text{im}(\psi_V) = V$.

$$\Rightarrow \dim(V \times W) = \dim(\ker(\psi_V)) + \dim(\text{im}(\psi_V)) = \dim(W) + \dim(V)$$

$$\boxed{\phi: V \rightarrow W \text{ 线性映射, 则 } \dim(\ker(\phi)) + \dim(\text{im}(\phi)) = \dim(V)}$$



法: 不妨设 $k > 1$; 考虑线性映射

$$\begin{aligned} \phi: V_1 \times V_2 \times \cdots \times V_k &\longrightarrow \underbrace{V \times \cdots \times V}_{k-1} \\ (\vec{v}_1, \vec{v}_2, \dots, \vec{v}_k) &\longmapsto (\vec{v}_1 - \vec{v}_k, \dots, \vec{v}_{k-1} - \vec{v}_k) \end{aligned}$$

易证 ϕ 是线性的.

$$\dim(V_1 \times V_2 \times \cdots \times V_k) = \dim(V_1) + \cdots + \dim(V_k).$$

$$\dim(\ker(\phi)) + \dim(\text{im}(\phi)) = \dim(V_1 \times V_2 \times \cdots \times V_k).$$

$$\begin{aligned} \dim(\ker(\phi)) &= \dim(V_1 \times V_2 \times \cdots \times V_k) - \dim(\text{im}(\phi)) \\ &\geq \dim(V_1 \times V_2 \times \cdots \times V_k) - \dim(V \times \cdots \times V) \end{aligned}$$

~~于是, 存在非零向量 \vec{v}~~ $\geq \dim(V_1) + \dim(V_2) + \cdots + \dim(V_k) - (k-1) \cdot n$

> 0 .
于是, 存在非零向量 $(\vec{v}_1, \dots, \vec{v}_{k-1}, \vec{v}_k) \in \ker(\phi)$, 由 ϕ 的定义, $\vec{v}_i = \vec{v}_k, i=1, 2, \dots, k-1$.

即 $\vec{v}_k \in V_i, i=1, 2, \dots, k-1$, 且 $\vec{v}_k \neq \vec{0}$. 特别地 $\vec{v}_k \in V_1 \cap V_2 \cap \cdots \cap V_k$.



行列相伴变换.

设 F_{ij} 是 n 阶第一类初等矩阵, $i, j \in \{1, \dots, n\}$, (E_n 中交换第 i 行和第 j 行),

$F_{ij}(\lambda)$ 是第二类初等矩阵, 其中 $i, j \in \{1, \dots, n\}$, $i \neq j$, $\lambda \in F$ (把 E_n 中第 j 行通乘 λ 加到第 i 行)

引理1. 设 $A = (a_{kl}) \in SM_n(F)$, $B = F_{ij}^t A F_{ij}$ 是对称矩阵且

$$B = \begin{pmatrix} a_{1,1} & \dots & a_{1,j} & \dots & a_{1,i} & \dots & a_{1,n} \\ \vdots & & \vdots & & \vdots & & \vdots \\ a_{j,1} & \dots & a_{j,j} & \dots & a_{j,i} & \dots & a_{j,n} \\ \vdots & & \vdots & & \vdots & & \vdots \\ a_{i,1} & \dots & a_{i,j} & \dots & a_{i,i} & \dots & a_{i,n} \\ \vdots & & \vdots & & \vdots & & \vdots \\ a_{n,1} & \dots & a_{n,j} & \dots & a_{n,i} & \dots & a_{n,n} \end{pmatrix} \begin{matrix} \rightarrow i \\ \rightarrow j \end{matrix}$$

pf: $F_{ij} = \begin{pmatrix} 1 & & & \\ & \ddots & & \\ & & 1 & \\ & & & \ddots \\ & & & & 1 & \\ & & & & & \ddots \\ & & & & & & 1 \end{pmatrix} \Rightarrow F_{ij}^t = F_{ij}$

$B^t = (F_{ij}^t A F_{ij})^t = F_{ij}^t A^t F_{ij} = F_{ij}^t A F_{ij} = B$
故 B 为对称矩阵.

$$A = \begin{pmatrix} a_{1,1} & \dots & a_{1,i} & \dots & a_{1,j} & \dots & a_{1,n} \\ \vdots & & \vdots & & \vdots & & \vdots \\ a_{i,1} & \dots & a_{i,i} & \dots & a_{i,j} & \dots & a_{i,n} \\ \vdots & & \vdots & & \vdots & & \vdots \\ a_{j,1} & \dots & a_{j,i} & \dots & a_{j,j} & \dots & a_{j,n} \\ \vdots & & \vdots & & \vdots & & \vdots \\ a_{n,1} & \dots & a_{n,i} & \dots & a_{n,j} & \dots & a_{n,n} \end{pmatrix}$$

$A \xrightarrow{F_{ij}^t} A$
交换 A 的第 i 行
和第 j 行.

$$\begin{pmatrix} a_{1,1} & \dots & a_{1,i} & \dots & a_{1,j} & \dots & a_{1,n} \\ \vdots & & \vdots & & \vdots & & \vdots \\ a_{j,1} & \dots & a_{j,i} & \dots & a_{j,j} & \dots & a_{j,n} \\ \vdots & & \vdots & & \vdots & & \vdots \\ a_{i,1} & \dots & a_{i,i} & \dots & a_{i,j} & \dots & a_{i,n} \\ \vdots & & \vdots & & \vdots & & \vdots \\ a_{n,1} & \dots & a_{n,i} & \dots & a_{n,j} & \dots & a_{n,n} \end{pmatrix}$$

交换 A 的第
 j 列和 i 列

$$\begin{pmatrix} a_{1,1} & \dots & a_{1,j} & \dots & a_{1,i} & \dots & a_{1,n} \\ \vdots & & \vdots & & \vdots & & \vdots \\ a_{j,1} & \dots & a_{j,j} & \dots & a_{j,i} & \dots & a_{j,n} \\ \vdots & & \vdots & & \vdots & & \vdots \\ a_{i,1} & \dots & a_{i,j} & \dots & a_{i,i} & \dots & a_{i,n} \\ \vdots & & \vdots & & \vdots & & \vdots \\ a_{n,1} & \dots & a_{n,j} & \dots & a_{n,i} & \dots & a_{n,n} \end{pmatrix}$$



引理2. 设 $A=(a_{kl}) \in SM_n(F)$, $B = F_{ij}(\lambda)^t A F_{ij}(\lambda)$ 是对称矩阵且

$$B = \begin{pmatrix} a_{1,1} & \dots & a_{1,i} & \dots & a_{1,j} + \lambda a_{i,i} & \dots & a_{1,n} \\ \vdots & \ddots & \vdots & \ddots & \vdots & \ddots & \vdots \\ a_{i,1} & \dots & a_{i,i} & \dots & a_{i,j} + \lambda a_{i,i} & \dots & a_{i,n} \\ \vdots & \ddots & \vdots & \ddots & \vdots & \ddots & \vdots \\ a_{j,1} + \lambda a_{i,1} & \dots & a_{j,i} + \lambda a_{i,i} & \dots & a_{j,j} + 2\lambda a_{i,j} + \lambda^2 a_{i,i} & \dots & a_{j,n} + \lambda a_{i,n} \\ \vdots & \ddots & \vdots & \ddots & \vdots & \ddots & \vdots \\ a_{n,1} & \dots & a_{n,i} & \dots & a_{n,j} + \lambda a_{i,j} & \dots & a_{n,n} \end{pmatrix} \begin{matrix} \rightarrow i \\ \rightarrow j \end{matrix}$$

pf:

$$F_{ij}(\lambda) = \begin{pmatrix} 1 & & & \\ & \ddots & & \\ & & \lambda & \\ & & & \ddots \\ & & & & 1 \end{pmatrix} \begin{matrix} \rightarrow i \\ \rightarrow j \end{matrix}$$

$$F_{ij}(\lambda)^t = \begin{pmatrix} 1 & & & \\ & \ddots & & \\ & & \lambda & \\ & & & \ddots \\ & & & & 1 \end{pmatrix} \begin{matrix} \rightarrow i \\ \rightarrow j \end{matrix}$$

相当于把 E_n 的第 i 行加到第 j 行

$$A = \begin{pmatrix} a_{1,1} & \dots & a_{1,i} & \dots & a_{1,j} & \dots & a_{1,n} \\ \vdots & \ddots & \vdots & \ddots & \vdots & \ddots & \vdots \\ a_{i,1} & \dots & a_{i,i} & \dots & a_{i,j} & \dots & a_{i,n} \\ \vdots & \ddots & \vdots & \ddots & \vdots & \ddots & \vdots \\ a_{j,1} & \dots & a_{j,i} & \dots & a_{j,j} & \dots & a_{j,n} \\ \vdots & \ddots & \vdots & \ddots & \vdots & \ddots & \vdots \\ a_{n,1} & \dots & a_{n,i} & \dots & a_{n,j} & \dots & a_{n,n} \end{pmatrix}$$

$F_{ij}(\lambda)^t A$
第 i 行加到第 j 行
再乘 λ

$$\begin{pmatrix} a_{1,1} & \dots & a_{1,i} & \dots & a_{1,j} & \dots & a_{1,n} \\ \vdots & \ddots & \vdots & \ddots & \vdots & \ddots & \vdots \\ a_{i,1} & \dots & a_{i,i} & \dots & a_{i,j} & \dots & a_{i,n} \\ \vdots & \ddots & \vdots & \ddots & \vdots & \ddots & \vdots \\ a_{j,1} + \lambda a_{i,1} & \dots & a_{j,i} + \lambda a_{i,i} & \dots & a_{j,j} + 2\lambda a_{i,j} & \dots & a_{j,n} + \lambda a_{i,n} \\ \vdots & \ddots & \vdots & \ddots & \vdots & \ddots & \vdots \\ a_{n,1} & \dots & a_{n,i} & \dots & a_{n,j} & \dots & a_{n,n} \end{pmatrix} \begin{matrix} \rightarrow i \\ \rightarrow j \end{matrix} \begin{matrix} \text{第 } i \text{ 行乘} \\ \lambda \text{ 加到第 } j \text{ 行} \end{matrix} B$$

对对称矩阵做有限次上述两个引理中的操作得到的矩阵称为通过(初等)行列相应变换得到的矩阵

引理3. 设域 F 的特征不等于 2, $A \in SM_n(F)$ 如果 A 中对角线上元素都等于 0 但 $A \neq 0$, 则我们可以通过行列相应变换把 A 变成对称矩阵 $B=(b_{ij})$ 使得 $b_{i,i} \neq 0$. 特别地, $A \sim_c B$.

pf: 设 $A=(a_{kl})_{n \times n}$, 其中某个 $a_{ij} \neq 0$, 且 $i \neq j$, 则 $F_{ij}(1)^t A F_{ij}(1)$ 在第 j 行 j 列处变为

$$a_{j,j} + 2a_{i,j} + a_{i,i} = 2a_{i,j} \neq 0 \quad (\because 2 \neq 0, \text{引理 2})$$

再由引理 3, 令 $B = F_{i,j} (F_{i,j}^{-1})^t A F_{i,j} (F_{i,j}^{-1})^t$, 即为所求.

定理 4 设域 F 的特征不等于 2, $A \in SM_n(F)$, 则我们可以通过初等行列变换得到对角矩阵.

证: 对 n 归纳. ① 当 $n=1$ 时, A 是对角阵. 定理显然成立.

② 设 $n > 1$ 且定理对 $n-1$ 成立, 我们考虑 n 阶对称矩阵 A ,

若 $A=0$, 则 A 为对角矩阵; 下面设 $A=(a_{ij}) \neq 0$

由引理 3, 我们可以进一步假设 $a_{11} \neq 0$. 由引理 2.

$$F_{1,n} \left(-\frac{a_{n1}}{a_{11}}\right)^t \cdots F_{1,2} \left(-\frac{a_{21}}{a_{11}}\right)^t A \begin{matrix} \boxed{F_{1,2} \left(-\frac{a_{21}}{a_{11}}\right) \cdots F_{1,n} \left(-\frac{a_{n1}}{a_{11}}\right)} \\ \vdots \\ \vdots \end{matrix} = \begin{pmatrix} a_{11} & & 0_{1 \times (n-1)} \\ & & \\ 0_{(n-1) \times 1} & & B \end{pmatrix}$$

其中 $B \in SM_{n-1}(F)$. 由归纳假设存在 $Q \in GL_{n-1}(F)$ (为各第一类和第二类初等矩阵积), 使得 $Q^t B Q$ 为对角矩阵. 令

$$P = \begin{pmatrix} 1 & & 0_{1 \times (n-1)} \\ & & \\ 0_{(n-1) \times 1} & & Q \end{pmatrix},$$

则 $(MP)^t A MP$ 为对角阵.

例 4

$$A = \begin{pmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{pmatrix} \in SM_3(\mathbb{R}),$$

利用行列相应变换把 A 化成对角阵 B , 并计算 $P \in GL_3(\mathbb{R})$, 使得 $B = P^t A P$

解: $(A|E) = \left(\begin{array}{ccc|ccc} 0 & 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 0 & 0 & 1 \end{array} \right) \xrightarrow{r_1+r_2} \left(\begin{array}{ccc|ccc} 1 & 1 & 2 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 0 & 0 & 1 \end{array} \right) \xrightarrow{C_1+C_2} \left(\begin{array}{ccc|ccc} 2 & 1 & 2 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 & 1 & 0 \\ 2 & 1 & 0 & 0 & 0 & 1 \end{array} \right)$

$$\xrightarrow{r_2 - \frac{1}{2}r_1} \left(\begin{array}{ccc|ccc} 2 & 1 & 2 & 1 & 0 & 0 \\ 0 & -\frac{1}{2} & 0 & 1 & 1 & 0 \\ 2 & 1 & 0 & 0 & 0 & 1 \end{array} \right) \xrightarrow{C_2 - \frac{1}{2}C_1} \left(\begin{array}{ccc|ccc} 2 & 0 & 2 & 1 & -\frac{1}{2} & 0 \\ 0 & -\frac{1}{2} & 0 & 1 & \frac{1}{2} & 0 \\ 2 & 0 & 0 & 0 & 0 & 1 \end{array} \right) \xrightarrow{r_3 - r_1} \left(\begin{array}{ccc|ccc} 2 & 0 & 2 & 1 & -\frac{1}{2} & 0 \\ 0 & -\frac{1}{2} & 0 & 1 & \frac{1}{2} & 0 \\ 0 & 0 & -2 & -1 & \frac{1}{2} & 1 \end{array} \right)$$

$$\xrightarrow{C_3 - C_2} \left(\begin{array}{ccc|ccc} 2 & 0 & 0 & 1 & -\frac{1}{2} & -1 \\ 0 & -\frac{1}{2} & 0 & 1 & \frac{1}{2} & -1 \\ 0 & 0 & -2 & 0 & 0 & 1 \end{array} \right)$$

$$\text{令 } P = \begin{pmatrix} 1 & -\frac{1}{2} & -1 \\ 1 & \frac{1}{2} & -1 \\ 0 & 0 & 1 \end{pmatrix}, \quad B = \begin{pmatrix} 2 & 0 & 0 \\ 0 & -\frac{1}{2} & 0 \\ 0 & 0 & -2 \end{pmatrix} \text{ 即可.}$$

